Applying Bayesian Analysis Guidelines to Empirical Software Engineering Data
The Case of Programming Languages and Code Quality

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Statistical analysis is the tool of choice to turn data into information, and then information into empirical knowledge. The process that goes from data to knowledge is, however, long, uncertain, and riddled with pitfalls. To be valid, it should be supported by detailed, rigorous guidelines, which help ferret out issues with the data or model, and lead to qualified results that strike a reasonable balance between generality and practical relevance. Such guidelines are being developed by statisticians to support the latest techniques for Bayesian data analysis. In this article, we frame these guidelines in a way that is apt to empirical research in software engineering.

To demonstrate the guidelines in practice, we apply them to reanalyze a GitHub dataset about code quality in different programming languages. The dataset’s original analysis (Ray et al., 2014) and a critical reanalysis (Berger et al., 2019) have attracted considerable attention—in no small part because they target a topic (the impact of different programming languages) on which strong opinions abound. The goals of our reanalysis are largely orthogonal to this previous work, as we are concerned with demonstrating, on data in an interesting domain, how to build a principled Bayesian data analysis and to showcase its benefits. In the process, we will also shed light on some critical aspects of the analyzed data and of the relationship between programming languages and code quality—such as the impact of project-specific characteristics other than the used programming language.

The high-level conclusions of our exercise will be that Bayesian statistical techniques can be applied to analyze software engineering data in a way that is principled, flexible, and leads to convincing results that inform the state of the art while highlighting the boundaries of its validity. The guidelines can support building solid statistical analyses and connecting their results, and hence help buttress continued progress in empirical software engineering research.

CCS Concepts: • Mathematics of computing → Bayesian computation; • Software and its engineering → Empirical software validation.

Additional Key Words and Phrases: Bayesian data analysis, statistical analysis, guidelines, empirical software engineering, programming languages

ACM Reference Format:

1 INTRODUCTION

Empirical disciplines, including a substantial part of software engineering research, mine data for information, and then use the information as evidence to build, extend, and refine empirical knowledge. Statistical analysis is key to implementing this process; but statistical techniques are just tools, which need detailed guidelines to be applied properly.
and consistently. It is only through the combination of powerful statistical techniques and rigorous guidelines to apply them that we can distill empirical knowledge following a process that is consistent, rests on solid principles, and ultimately is more likely to lead to valid results with a higher degree of confidence.

Whereas frequentist statistical techniques have been commonplace in science for over a century—since the influential work of the likes of Pearson [68] and Fisher [20]—the state of the art in applied statistics is moving towards using Bayesian analysis techniques. As we discussed in previous work [21], recent developments in Bayesian analysis techniques (such as using Hamiltonian Monte Carlo fitting algorithms [11]) coupled with an increasing availability of the computing power needed to run them on large datasets have convincingly demonstrated the advantages of using Bayesian statistics and the flexibility and rigor of the analysis they support. More recently, applied statisticians have also been working out practical guidelines that can boost usability and impact of Bayesian statistical data analysis [1, 23, 31, 57]. In this paper, we present some of these guidelines and frame them in a way that is suitable for empirical research in the software engineering domain—with the goal of demonstrating how they can support a principled way of building statistical analyses of software engineering data.

To demonstrate the guidelines in practice, we follow them to analyze a large dataset about the code quality of projects written in disparate programming languages and hosted on GitHub [55]. The empirical study that curated this dataset and performed the original analysis [55] was followed by a critical reanalysis by a different group of researchers [6]; as we recall in Section 1.1, the topic has received much attention and stirred some controversy. This visibility makes the dataset an attractive target for our own purposes.

In the paper, we go through various aspects of the data analysis performed in the previous studies [6, 55], illustrating the versatile features of Bayesian statistical models in practice. We demonstrate how the guidelines support an incremental and iterative analysis process, where several key features of a statistical model can be validated; this, in turn, encourages trying out different models and comparing them in a rigorous way—as opposed to blindly relying on one-size-fits-all rules of thumb. Following this process, we demonstrate that some issues of the original analysis [55] or criticized by the follow-up reanalysis [6] could have been identified more easily. Furthermore, the limitations and actual impact of previous studies could have been framed more straightforwardly and more transparently. The conclusion of our exercise will be that flexible statistical techniques coupled with principled and structured guidelines can help address empirical research questions directly and transparently. This can lead to explanations that are nuanced and detailed, and hence, ultimately, that can become convincing foundations for building shared knowledge.

1.1 Dataset and previous studies

In this paper, we reuse the dataset collected and analyzed by Ray et al. in a paper published at the FSE\textsuperscript{1} conference [55]. A critical reproduction [6] of the original study, written by Berger et al. and published in the TOPLAS\textsuperscript{2} journal, triggered a prolonged controversy that reverberated on social media.
Here is the story so far, in the shortest terms possible: based on their analysis of projects hosted by GitHub, the original study [55] (henceforth, “FSE”) claimed to have found an association between certain programming languages and the bug proneness of code written in them. The reproduction study [6] (henceforth, “TOPLAS”) criticized several aspects of FSE—most prominently, its data collection and classification practices—and questioned the soundness of some of its results. In a rebuttal, the authors of FSE defended their results; and in a rebuttal of the rebuttal the authors of TOPLAS maintained their criticism.

Our paper is emphatically not our attempt to jump into the fray: we do not have much to add to the subject matter of the controversy. However, we appreciate the interest that the controversial topic received, and see it as an opportunity to present our views on a different, but related, aspect: practices in statistical analysis. Both FSE and TOPLAS primarily use frequentist statistical techniques. Even in the best conditions, these techniques' flexibility is limited in comparison to the Bayesian statistical techniques we have been advocating [21, 65].

Overall, our contributions fall largely outside the focus of FSE and TOPLAS—except to the extent that they target the same domain and the same data. The core of both papers revolves around GitHub data, how it was collected and processed, and how the variables of interest have been operationalized. TOPLAS’s main goal was to attempt to reproduce FSE’s results, and hence it deliberately makes mostly limited changes to the statistical models. Our analysis takes the data as it was collected and made available by FSE’s original study, and tries to make the most out of it following rigorous guidelines to apply flexible statistical practices—Bayesian statistics, that is.

1.2 Overview

The overall goal of this paper is demonstrating how Bayesian statistical techniques can be applied in a principled way to build suitable statistical models. These models can then be used to answer research questions in a flexible way, and to quantify limitations and uncertainties about what one can reliably infer from the models.

This complements our earlier work on Bayesian data analysis for empirical software engineering [21, 65], which:

- Argued for using Bayesian over frequentist statistics and showcased the former’s flexibility on software engineering data [21].
- Suggested to analyze practical significance using a combination of Bayesian statistics and cumulative prospect theory, which helps stakeholders evaluate the impact of a technique or a practice in a way that takes into account their constraints, available resources, and intuitive reasoning [65].

1.2.1 Key benefits of Bayesian statistics. Before we go into the novel contributions of the present paper, let us briefly summarize the benefits of Bayesian statistical techniques—which we presented in detail in our previous work [21, 65]. Section 4 will further demonstrate several of these benefits on the programming language case study.

There is a growing awareness in several empirical scientific disciplines that “classical [frequentist] statistical tools are not diverse enough to handle many common research

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3Hillel Wayne provides a much more detailed account https://www.hillelwayne.com/post/this-is-how-science-happens/.
4https://arxiv.org/abs/1911.07393
5http://janvitek.org/var/rebuttal-rebuttal.pdf
6Here, “flexible” means that it can be adapted to different kinds of scientific questions and analysis domains while remaining effective.
Bayesian statistics, in contrast, are much more flexible, as they provide general methods to connect data, models, and research questions [27]. Bayesian statistics are more flexible because they are centered around modeling: how we fit a Bayesian model is largely independent of the details of how the model was built. In contrast, different frequentist models often require widely different analysis procedures: if we need to tweak the model or its underlying assumptions even slightly, the frequentist analysis results may become unreliable.

The main output of a Bayesian data analysis is a distribution of model parameters fitted on the data. This includes rich quantitative information, in contrast to the point estimates that are the usual outcome of applying frequentist statistics. Providing distributional information is a key strength of Bayesian statistics. First, it supports quantitative and nuanced analyses instead of a purely dichotomous (yes/no) view—which is prevalent with statistical hypothesis testing (a core technique of frequentist statistics that has been under intense scrutiny [2, 70]). Second, distributional information is easier to understand, since it measures quantities of interest in the specific domain. Contrast this to purely statistical metrics such as p-values or confidence intervals, which are notoriously hard to interpret correctly [34, 35]. Third, the quantitative distributional information that is provided by a fitted Bayesian model supports simulating the derived distributions of a variety of quantities of interest, such as outcomes in a specific scenario—which is especially useful to analyze practical significance [65].

The current paper focuses on how to apply Bayesian data analysis in a principled way: following detailed guidelines and a structured workflow. Demonstrating the guidelines on FSE’s dataset, our contributions address four aspects that are relevant to every study that involves statistical data analysis.

1.2.2 How to design a statistical model? Modeling requires to exercise judgement—something that can be based on practices, customs, and heuristics, but is not completely reducible to a fixed set of rigid rules. Bayesian statistics emphasizes the modeling aspect of data analysis, and provides quantitative techniques to help ground heuristics and practices onto a robust and sound statistical framework.

Section 2 presents guidelines to build a Bayesian statistical model incrementally (adding features as needed), iteratively (improving a model based on the shortcomings of the previous ones), and rigorously (with quantitative criteria to assess a model’s suitability). Our guidelines customize general guidelines developed by the Bayesian data analysis community to the scenarios that are common in empirical software engineering. Section 3 demonstrates, on the FSE dataset, that our guidelines provide principled ways of assessing the strengths and weaknesses of any statistical model for the analysis at hand.

1.2.3 How to spot data problems? TOPLAS’s criticism of FSE’s analysis questions the accuracy of some of the data that was collected and how it was processed. For example, it says that “project size, computed in the FSE paper as the sum of inserted lines, is not accurate—as it does not take deletions into account” [6, §3.2]. Can Bayesian statistical techniques help discover problems with the data—such as inconsistencies, sparseness, and lack of homogeneity—that limit the validity and generalizability of the statistical analysis’s results?

Naturally, no statistical technique (no matter how powerful) can supersede a careful analysis of construct validity [19, 53], which should precede the statistical analysis and lay the foundations for it. Still, applying the Bayesian guidelines that we present can ferret out issues with the data and highlight where uncertainty is more or less pronounced, so that we can heed any limitations when drawing conclusions. For example, Section 4.2 finds that
the number of inserted lines performs poorly as a predictor, echoing TOPLAS’s observation that it may not be a suitable measure of size.

1.2.4 How to assess significant results? In previous work [21], we demonstrated that Bayesian statistical techniques can help move away from a dichotomous (significant/not significant) framing of research questions—which comes typically with frequentist null hypothesis testing and is often artificially restrictive—and instead focus on practical significance [65].

The gap between statistical significance and practical significance is more likely to be wide when studying complex domains with plenty of confounding factors. The analysis of programming language data is a clear example of such complex domains. In this paper, we show how the Bayesian statistics guidelines support a nuanced analysis of complex models, and help keep the focus on concrete scenarios and practically relevant measures. Concretely, we show that Bayesian data analysis provides a flexible model of data distributions, which can be used to predict outcomes in different scenarios directly in terms of statistics that are based on variables in the problem domain.

Our analysis’s conclusion will be that the key question “which programming languages are more fault prone” does not admit a simple straightforward answer—not with the analyzed data at least. Nevertheless, as we argued in [21] and now demonstrate in Section 4.3, practitioners can ask specific questions and answer them by running simulations on the Bayesian model, rather than having to rely on general results that may not be meaningful in their context.

1.2.5 How to build knowledge incrementally? Every empirical study has limitations; lifting them requires to perform new experiments. Another advantage of Bayesian statistical models built using an incremental process is that they can be refined as we collect more data. This way, our models become better over time since they accurately reflect the evolving scientific knowledge in a certain area.

Section 4.4 discusses how applying Bayesian analysis guidelines helps plan for additional data collection based on the limitations of the analyzed data. Different experiments are no longer merely a loose collection around the same themes, but can be planned back-to-back in a way that progressively reduces the uncertainty in knowledge.

1.3 Contributions
This paper makes the following contributions:

- It presents guidelines to apply Bayesian statistics following a systematic process that goes from building and validating the model to fitting and analyzing it.
- It demonstrates the guidelines by showing how to incrementally build a suitable statistical model to capture FSE’s language quality data.
- It analyzes the fitted model to investigate the original questions of the effect of programming languages on fault proneness with a focus on practical scenarios.
- For reproducibility, all analysis scripts are available online together with additional results and detailed data visualization:

  REPLICATION PACKAGE: https://doi.org/10.5281/zenodo.4472963 [22].

1.3.1 Scope. To a large degree, the guidelines we present are not specific to certain classes of statistical models or analysis domains. The case study we detail in this paper is about programming language quality, which we model using several generalized linear models of different complexity—a broad class of statistical models widely used for their flexibility.
This does not mean that the guidelines are only applicable to programming language data, nor that they only work for generalized linear models.

Since the guidelines are largely independent of the specific features of the chosen statistical model, the domain-specific details of how to operationalize a certain data analysis problem and how to build a valid “construct” (a statistical model) are largely outside the scope of the present paper. As we remarked above, we selected the programming language data as case study because it has been already thoroughly analyzed and scrutinized (albeit in a frequentist setting); thus, we can build on FSE’s and TOPLAS’s work to demonstrate the additional steps to be taken to bolster the validity of a statistical data analysis. This leaves room for different analyses of the same research questions but using different data collection processes or different statistical models. Our guidelines remain valid as a safeguard against modeling mistakes or shortcomings; since they promote an iterative approach, they can also suggest what to change when they fail to validate a candidate model.

1.3.2 Organization. The rest of the paper is organized as follows. Section 2 illustrates Bayesian data analysis guidelines with an angle that is relevant for empirical software engineering. Section 3 follows the guidelines to incrementally build a model that is suitable to capture FSE’s programming language data. Various models are rigorously evaluated and compared, so that the final model is arguably the “best” among them according to certain quantitative criteria. Section 4 analyzes the fitted model to study the original questions of which programming languages are associated with more or fewer faults. The results look at different scenarios and outline how further custom analyses could be built atop the same model. Finally, Section 5 discusses related work and Section 6 concludes with a brief summary and closing discussion.

2 BAYESIAN DATA ANALYSIS GUIDELINES

In the last decade, powerful Bayesian statistical analysis tools and languages have become widely available together with computational resources adequate to run them [13, 25, 50]. More recently, statisticians have also been introducing and refining guidelines on how to use these tools in a systematic way to perform principled Bayesian data modeling [1, 23, 31, 57]. In this section, we summarize these state-of-the-art guidelines while recasting them in a form suitable for empirical software engineering research.

A Bayesian model defines a statistical data-generating process in terms of a prior distribution of parameters $\theta$ and a likelihood that certain data is observed for each value of the parameters. Fitting such a model on some empirical data $D$ then gives a posterior distribution of the same parameters that follows Bayes’ theorem:

$$P(\theta \mid D) \propto P(D \mid \theta) \times P(\theta).$$

The posterior can then be used to compute the probability of other observations of interest in a predictive fashion. Our previous work [21] presented more details about Bayes’ theorem and the roles of prior, likelihood, and posterior. In this paper, we focus on how to build a Bayesian model in practice: Bayesian modeling involves choosing components in a way that is sound and principled, and that works for the data and domain that we are targeting.

Figure 1 illustrates a key idea of the guidelines for Bayesian analysis presented here: developing a statistical model is a process of iterative refinement, which starts from a very simple (possibly simplistic) initial model that is gradually refined. Each iteration goes through a series of steps that assess the model’s suitability in terms of the following characteristics:
Fig. 1. Process for Bayesian data analysis: starting from an initial model, assess whether it is plausible, workable, and adequate. If it lacks any of these characteristics, refine the model by adding detail and features. Models that pass all checks can be fitted and used to answer the analysis’s specific questions. Different models that pass all checks can be rigorously compared to select those that perform “best” according to suitable criteria. The outer loop (dashed arrows) indicates that an analysis’s results may also suggest to extend an adequate model so that it can answer more precise, or just different, questions; this outer loop is another source of multiple models that can be compared.

**Plausibility:** Is the model consistent with (expert) knowledge about the data domain?

**Workability:** Can the model effectively and accurately be fitted using the available numerical algorithms?

**Adequacy:** Can the model capture the characteristics of the empirical data?

These steps help assess the utility (or suitability) of a model and its trade-offs. As we illustrate in Tables 1 and 2, each step puts additional requirements on a model, and checks whether the model is well-equipped to faithfully capture the observed data and to analyze it. Table 1 shows how each step broadens the scope of what model components are checked; in particular, the actual empirical data is only used in the adequate step, whereas the previous steps generate simulated data using priors and likelihood. Table 2 details how each step has a possible outcome (what it establishes about the model), which is supported by analysis artifacts that document the step. The following sections describe the steps, artifacts, and outcomes in some detail. Section 3 will apply the steps on the main case study of programming language data.

### 2.1 Modeling

To make the description concrete, and thus easier to follow, we illustrate what the steps compute on a toy problem: predicting an adult person’s height \( h \) in centimeters. To this end, we build this statistical model:

\[
\begin{align*}
    h & \sim \text{Normal}(\mu, \sigma) \quad (2) \\
    \mu & \sim \text{Normal}(170, 50) \quad (3) \\
    \sigma & \sim \text{HalfCauchy}(0, 1) \quad (4)
\end{align*}
\]

The following paragraphs introduce its components one by one.

**Parameters.** A Bayesian statistical model consists of three components: parameters to estimate, likelihood, and priors. In our example, the model’s parameters \( \theta \) are the mean \( \mu \) and standard deviation \( \sigma \) of a person’s height. The data \( D \) records the value of outcome
variable $h$ for several persons, which we can use to estimate $\mu$ and $\sigma$. There are no predictor variables in this simplistic model, but otherwise these would also be recorded in the data for every person.

**Likelihood.** The likelihood is a probability distribution of the data $h$ given parameters $\mu$ and $\sigma$. The simplest (yet extremely common) choice is a normal distribution, which encodes no additional information about the data other than that it has a mean $\mu$ and a standard deviation $\sigma$. This likelihood is defined by (2), which is in fact a probability distribution of $h$ given $\mu$ and $\sigma$.

**Priors.** Finally, we need priors for $\mu$ and $\sigma$. Specifying a prior means defining an initial probability distribution for a parameter of the model—a probability distribution without any dependency on the data.

The least informative priors are completely flat distributions, which assign the same infinitesimal probability to any value of the parameter; this is the default behavior in frequentist statistics. A flat prior for $\mu$, for example, would be a uniform distribution with support from $-\infty$ to $+\infty$. Flat priors are usually a poor choice: first, since they stretch a probability distribution over an infinitely large support, they tend to generate infinitesimal probabilities that may cause numerical rounding errors; second, a prior with no information whatsoever about the realistic parameter domain is prone to overfitting the data. We can see it clearly even in the simple example of estimating heights: a flat prior would give the same a priori probability to height values $-10$, $170$, and $10^9$, but only the second value is a plausible human height!

A better choice are weakly informative priors, which still carry very little specific information but perform much better than flat priors computationally and protect against overfitting the data. As prior for $\mu$, we select the normal distribution (3), with mean 170 and standard deviation 50; this means that we expect most heights to be between $20 = 170 - 3 \cdot 50$ and $320 = 170 + 3 \cdot 50$ centimeters. This is still an extremely broad range of values, but it favors values that are in the ballpark of realistic human heights. By the way, there is nothing special about the values 170 and 50: the priors are only a starting point, which should just identify a plausible range of heights without being unnecessarily constraining. Different, reasonable choices for the priors would still lead to very similar outcomes.

A prior for $\sigma$ should rule out negative values (that is, assign zero probability to them), since a standard deviation must be a nonnegative number. A common choice for priors of standard deviations is a so-called half-Cauchy distribution, which is a truncated Cauchy. Precisely, we set the first (location) parameter of the prior (4) for $\sigma$ to zero, so that the distribution’s support is restricted to the nonnegative reals. We set the second (scale) parameter to one, which spreads out the probabilities smoothly while still preferring moderate values of $\sigma$.

**Bayesian data analysis tools can often suggest default weakly informative priors that may work well in many cases. In the analysis of Section 3, we will define our priors—following standard recommendations [42]—but very often using default priors would have lead to overall similar results. In any case, the plausibility checks described next will validate our choice of priors.**

### 2.2 Plausible model

Once we have chosen parameters, likelihood, and priors our model definition includes all required parts. Then, we can “run” the model—that is, sample from it—and analyze how likelihood, data, and priors constrain the model parameters of interest [30]. The first
Table 1. For each step of a Bayesian statistical analysis (checks of plausibility, workability, adequacy, model comparison, and analysis), the model components (prior and likelihood), competing alternative models, and kinds of data (empirical and new for prediction) that the step primarily tests.

<table>
<thead>
<tr>
<th>STEP</th>
<th>PRIOR</th>
<th>LIKELIHOOD</th>
<th>ALTERNATIVE MODELS</th>
<th>EMPIRICAL DATA</th>
<th>NEW DATA FOR PREDICTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>plausible?</td>
<td>✓</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>workable?</td>
<td>✓</td>
<td>✓</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>adequate?</td>
<td>✓</td>
<td>✓</td>
<td></td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>compare</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td></td>
<td></td>
</tr>
<tr>
<td>analyze</td>
<td>✓</td>
<td></td>
<td></td>
<td></td>
<td>✓ ✓ ✓</td>
</tr>
</tbody>
</table>

Table 2. The artifacts that are typically produced, and the outcome that follows from each step of a Bayesian statistical analysis (when the step succeeds).

<table>
<thead>
<tr>
<th>STEP</th>
<th>ARTIFACTS</th>
<th>OUTCOME</th>
</tr>
</thead>
<tbody>
<tr>
<td>plausible?</td>
<td>(1) Prior predictive simulation plots; (2) Justification for priors if they disallow certain values.</td>
<td>The priors allow a broad range of possible values and give low probability to values that are unlikely to occur in the domain.</td>
</tr>
<tr>
<td>workable?</td>
<td>(1) Simulation-based calibration of z score and shrinkage; (2) Fitting diagnostic metrics.</td>
<td>Fitting the model works computationally and does not exhibit pathological behavior.</td>
</tr>
<tr>
<td>adequate?</td>
<td>Posterior predictive checks plots.</td>
<td>The model can generate data similar to the empirical observations.</td>
</tr>
<tr>
<td>compare</td>
<td>Information-criteria ranking and scores of competing alternative models.</td>
<td>The chosen model achieves a bias-variance trade-off better than the alternative models.</td>
</tr>
<tr>
<td>analyze</td>
<td>(1) Posterior plots based on the empirical data; (2) Distribution plots and summary statistics of any domain-specific variables of interest.</td>
<td>Quantitative answers to the analysis’s specific questions.</td>
</tr>
</tbody>
</table>

The key principle is that, if the priors are properly chosen, this process should determine a distribution that allows all plausible values for the variables but gives vanishing small probability to values that are practically impossible or contradict established scientific knowledge. In summary, prior predictive checks answer the question: *Does sampling from the priors lead to a plausible range of parameter values?*

Here is how prior predictive simulation would work on our toy example. First, we sample random values for parameters $\mu$ and $\sigma$ from their prior distributions (3),(4). We then plug each sampled pair of values $\bar{\mu}, \bar{\sigma}$ into the likelihood (2) and sample values of $h$ from $\text{Normal}(\bar{\mu}, \bar{\sigma})$. Since the outcome variable $h$ measures an adult person’s height, the priors should be such that this sampled distribution of $h$ freely allows heights between, say, 0 and...
and 300 cm, whereas it disallows negative heights and assigns very small probabilities to heights above 300 cm—since no human on record has ever been that tall.

Prior predictive simulation is not cheating [42]. As long as we do not set priors based on the actual empirical data that we are going to analyze, but only through what we know about the data domain independent of how we measured it, it is sensible to use our existing knowledge to rule out priors that would lead to impossible or clearly implausible results. Seen in this light, the possibility of choosing priors is a big advantage of Bayesian analysis that is highly valuable for any empirical science. Using existing knowledge to guide new analyses, we can develop sequences of studies that, taken together, progressively sharpen knowledge in a specific area. The alternative is that every software engineering research contribution remains an “island unto itself” without clear connections to the related literature and the field as a whole [21].

The reasons for choosing certain priors that make the model plausible should be explicitly justified. In practice, and to the extent that it is possible, empirical software engineering studies should explicitly state which published results, common sense, or “folk knowledge” justify the choice of priors and their plausibility behavior. Section 3.3 demonstrates how to do that for the paper’s case study.

Selecting informative priors gives Bayesian statistics more flexibility, but does not limit its applicability. When very little is known about the problem domain—for example, in an exploratory first study about a certain practice—one can always fall back to using completely uninformative priors, which require no specific knowledge, and hence are vacuously plausible. When prior knowledge exists, however, defining more selective priors can help sharpen the model and specialize it to the characteristics of the analysis domain.

### 2.3 Workable model

Once we have ascertained that the chosen priors are consistent with plausible parameter values, the second step checks whether our model works computationally—that is, fitting the model does not incur divergence or other numerical problems, and the fitting process eventually reaches a stationary state that properly identifies a posterior distribution.

An emerging technique to do so is simulation-based calibration [57, 62], which relies on a consistency property of Bayesian models: first, simulate parameter and data values from the priors and likelihood as done in prior predictive simulations; then, using Bayes’ theorem, combine the simulated parameter and data samples to get a posterior distribution of the model’s parameter; if the model is consistent, the posterior distribution obtained in this way should resemble the prior distribution. To perform simulation-based calibration on our toy example, we would (i) sample parameter values from the priors; (ii) use those to build samples of the outcome variable \( h \); (iii) use these outcome samples as data (instead of the actual empirical data) and combine them again with priors and likelihood using Bayes’ theorem (1). These steps give a new sampled distribution of the parameters \( \mu \) and \( \sigma \), which we compare with that obtained by sampling the priors directly in the first step.

While promising, simulation-based calibration is a cutting-edge technique that is still undergoing major developments; none of the statistical analysis tools that are more widely used for Bayesian analysis support it out-of-the-box. Instead, these tools offer other metrics to assess workability that are specific to the fitting algorithms based on dynamic Hamiltonian Monte Carlo which they implement. Here are the metrics that are usually available, and how they help us assess workability:
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- A divergent transition in the sequences of samples indicates a possible numerical error; workable models should have few divergent transitions—ideally none.
- The sampling process is repeated a few (usually 2–4) times independently; each sequence of sampling is called a chain. In a workable model, different chains should be statistically similar: the ratio \( R \) of within-to-between chain variance should converge to 1 as the number of samples grows. A common rule of thumb for finite sampling is that \( R < 1.01 \), which indicates a stationary posterior distribution.
- The effective sample size is the fraction of all samples that are independent, that is not autocorrelated. We typically want it to be at least 10% for each parameter we estimate (and that the absolute number of independent samples be a few hundreds); lower values may indicate that sampling is ineffective.
- Finally, we can also visually inspect the plots that trace the samples in every chain. When the different lines look mixed up (like a “hairy caterpillar” [42]), it is one more sign that the fitting process works well.

Section 3.4 uses these metrics to analyze the workability of our models.

When a workability check fails, it suggests that there is a mismatch between the model and the algorithm used to fit it. Sometimes, this is due to the data—for instance it is too sparse to effectively sample from it. More commonly, it indicates that the model itself is unsuitable for the analysis at hand. A clear example is the problem of multicollinearity: when two variables are strongly correlated, their exact contribution to the outcome is undetermined; thus, the model may not be workable because it cannot be used to discover a definite value for each variable independent of the other.

### 2.4 Adequate model

If the previous analysis steps were successful, we determined that the priors are sensible (plausibility) and that fitting the model is a converging process (workability); it remains to check whether the model adequately captures reality. The third step thus fits the model using the actual empirical data (which was not used in the previous two steps) and performs posterior predictive checks: using the posterior distribution of parameters fitted on the actual empirical data, simulate new observations and compare them to the data. If the two are consistent, it means that the model can generate data similar to the observed data, and hence it captures the empirical observations adequately.

Here is how posterior predictive checks would work on our toy example. Similarly as in simulation-based calibration, we combine data and prior samples using Bayes’ theorem (1); the key difference is that we now use the actual observed data (the height of real people) instead of simulated data. This gives a posterior predictive distribution of parameters \( \mu \) and \( \sigma \), which, in turn, we sample; then, we plug the sampled parameter values into the likelihood to get a distribution of \( h \)—the so-called posterior predictive distribution, since it expresses the information about the posterior indirectly in terms of prediction of model (outcome) variables. In an adequate model, the posterior predictive distribution generates data somewhat similar to the actual observed data.

Section 3.5 discusses the results of posterior predictive checks on the programming language case study.

### 2.5 Model comparison

Information criteria such as WAIC (Widely Applicable Information Criterion, also known as Watanabe-Akaike Information Criterion) [71] and PSIS-LOO (Pareto-Smoothed Importance
Sampling Leave One Out validation) [67] assess a kind of relative adequacy by measuring
deviance or other information-theoretic metrics between a model’s predictions and the data.
In a nutshell, these metrics assess how well each model performs out-of-sample predictions
compared to other competing models. Thus, information criteria measures are relative:
they are useful to compare the adequacy of a model relative to another but cannot gauge
a model’s adequacy in absolute terms. Section 3.6 uses information criteria to compare
different models for the programming language data analysis.

2.6 Iterative refinement

After a candidate model goes through the steps described above, we have a clear under-
standing of its strengths and weaknesses. When the model fails specific steps, we also learn
what aspects we have to change to refine it: the priors of an implausible model need chang-
ing; an unworkable model needs to be refactored in a way that works computationally;
an inadequate model may require more information (typically in the form of additional
variables or parameters) for it to be consistent with the data (for example, to properly
capture inter-group variability).

Model design is an iterative process which gradually refines an initial model to improve
it. Usually, we start from a deliberately very simple model and make it more complex as
needed [57]. However, we can also do the opposite: start from a so-called maximal model,
and then simplify it as long as it retains the characteristics of plausibility, workability, and
adequacy [49]. In practice, we may even alternate simplification and refinement (detail-
adding) steps starting from a canonical model [47, pp. 103–104] until we are satisfied with
the results.

The presentation of the results of a Bayesian data analysis need not discuss the models in
the same order in which they were designed and evaluated; it does not even need to present
all models, but can simply present the final model as long as its choice can be soundly
justified a posteriori (and, preferably, a reproducibility package exists). Regardless of how
we choose to present the overall outcome of an analysis, considering different models
expands the flexibility of the modeling process, supports making informed choices about
each aspect of a model, and helps focus on and quantify the relative benefits of each model
in terms of the trade-offs that matter for the ongoing analysis.

2.6.1 Uniqueness and optimality of models. When should we stop refining our model? Para-
phrasing George Box’s famous aphorism [9, 10], we could say that the goal of statistical
modeling is building a useful model, not a correct one. In other words, we cannot expect
that following our guidelines leads to designing a unique or optimal model.

Model comparison can identify which models perform better predictions than other
models, but it cannot assess a model’s absolute predictive capabilities. The steps in Figure 1
make up a validation process, which can identify a model’s shortcomings or confirm that it
is of suitable quality; they cannot say anything about the infinitely many other models that
were not considered. Building useful models still requires human intuition, knowledge,
and ingenuity—skills that no supporting process can completely replace.

2.7 Tools for Bayesian data analysis

Let us briefly mention which tools are available to support the kind of Bayesian data
analysis process that we discuss in this paper. Stan [13] and JAGS [51] are state-of-the-art
frameworks that offer a probabilistic language to express Bayesian models and implement
very efficient algorithms to fit such models on data. Commonly, one uses these frameworks
through a front-end library in a high-level programming language suitable for data analysis. Libraries such as brms for R [12], Stan.jl for Julia [39], and PyStan for Python [52] provide a rich interface to Stan, including support for the main steps of our guidelines (for example, prior predictive simulations). Turing.jl for Julia [25] also provides a high-level interface to perform Bayesian data analysis, but includes its own implementation of Bayesian sampling instead of relying on Stan’s (which may offer some advantages in terms of flexibility and generality for the most advanced applications).

3 BAYESIAN DATA ANALYSIS OF PROGRAMMING LANGUAGE DATA

Equipped with a high-level understanding of the modeling guidelines that we outlined in Section 2, we apply them to perform the analysis of the FSE data. The overall outcome of the work described in this section will be a carefully designed, suitable statistical model of this data. In Section 4, we will analyze this model to understand what it tells us about the original questions on programming languages and code quality.

To mitigate the risk of mono-operational bias, the first author prepared the data for analysis in R, and the second author developed the first complete analysis, which then the first and third author revised. Finally, all three authors validated the final revised analysis, which is presented here. In addition, the second author did not read the publication that originated the dataset [55] or its reanalysis [6] until after completing the first complete analysis. This reduced the chance that the others’ design decisions, or some characteristics of the data they highlighted, biased our application of the modeling guidelines.7

3.1 Data

FSE’s authors released the original dataset—obtained by mining information from GitHub repositories—upon request from TOPLAS’s authors. TOPLAS performed first a repetition of FSE’s analysis on the same dataset, and then a reanalysis on a revised dataset obtained by “alternative data processing and statistical analysis to address what [they] identified as methodological weaknesses of the original work” [6, Sec. 4]. The main difference between FSE’s original dataset and TOPLAS’s revised dataset is that the latter removes some duplicated data, TypeScript projects (which often do not include much actual TypeScript code), and the V8 project (whose JavaScript code in the dataset is mostly tests). Finally, TOPLAS’s replication package includes FSE’s original dataset alongside TOPLAS’s revised dataset.

In our analysis, we focus on the original FSE dataset,8 because we would like to see whether a Bayesian data analysis can help spot issues and inconsistencies in the data that may hinder replication attempts—and, conversely, that may make replication run-of-the-mill if addressed early on. Our replication package includes all analysis details, including the results of fitting the same models on TOPLAS’s revised dataset (which we do not discuss here for brevity).

FSE’s dataset includes information about 1 578 165 commits, which we group by project and language giving 1 127 datapoints. The attributes that are relevant for our analysis are:

- **project**: the project’s name
- **language**: the used programming language
- **commits**: the total number of commits in the project
- **insertions**: the total number of inserted lines in all commits

7We used Stan through its brms R front-end to perform the analysis described in the rest of the paper.
8Which we obtained from TOPLAS’s public replication package (available at https://github.com/PRL-PRG/TOPLAS19_Artifact).
age: the time passed since the oldest recorded commit in the project
devs: the total number of users committing code to the project
bugs: the number of commits classified as “bugs”

The values of attributes commits, insertions, age, and devs vary greatly between projects. When this happens, it is customary to transform the data using a logarithmic function, so that the variability is over a smaller range whose unit corresponds to an order of magnitude. Both FSE’s and TOPLAS’s analyses log-transformed these attributes; we do the same: henceforth, commits, insertions, age, and devs represent the natural logarithm of the total number of commits, inserted lines, and so on.

Figure 2 provides an overview of the FSE dataset, showing the distribution of bugs per project grouped by programming language. Visualizing the raw data can be useful to get a broad idea of what is in the dataset; however, the information that such visualizations provide is mostly qualitative and we should be aware of its limitations. If one includes all data, any outliers may skew the picture at extreme values; conversely, if one excludes some data, deciding which data to exclude is itself a source of possible bias, and discards potentially useful information thus increasing uncertainty. In this dataset specifically, projects vary broadly in terms of size and other characteristics. Figure 2 conflates these differences, and hence a comparison of different languages based on it may be misleading. We could display a subset of the data that only includes projects with homogeneous characteristics; however, doing so would drop significant amounts of information, introduce a somewhat
Applying Bayesian Analysis Guidelines to Empirical Software Engineering Data

<table>
<thead>
<tr>
<th>STEP</th>
<th>$M_1$</th>
<th>$M_2$</th>
<th>$M_3$</th>
</tr>
</thead>
<tbody>
<tr>
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<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>workable?</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>adequate?</td>
<td>×</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>compare</td>
<td>–</td>
<td>×</td>
<td>✓</td>
</tr>
</tbody>
</table>

Table 3. Plausibility, workability, adequacy, and comparison of models $M_1$, $M_2$, $M_3$. All three models are plausible and workable, but model $M_1$ is not adequate because it cannot accurately capture the regular features of the dataset. Model comparison between $M_2$ and $M_3$ shows that the latter performs much better concerning out-of-sample predictions, and hence we will use $M_3$ for the rest of the analysis.

arbitrary partitioning (what projects are “similar”), and increase the risk of overfitting other accidental characteristics of the data. By abstracting the information in the raw data and combining it with expert knowledge, a suitable statistical model can lessen several of these problems, thus supporting more robust and general inferences about the impact of programming languages.

3.2 Modeling

We build three models—$M_1$, $M_2$, and $M_3$—of increasing complexity. Table 3 summarizes the outcome of the steps in Figure 1 for the three models. Mirroring Table 2’s structure, Table 4 outlines the artifacts produced in each step, and the conclusions that the analysis draws about each model’s suitability. The rest of this section details the models and the outcome of the guidelines’ suitability checks presented in Section 2. This section presents the models and the outcome of their suitability analysis in detail; later, Section 4.1 will discuss, at a higher level, what this analysis reveals about the relations between model features and data.

As we remarked in Section 1.3.1, our guidelines can be used to validate different kinds of models. We consider these three models because they belong to a widely used family of statistical models, and for their similarity with the models of FSE and TOPLAS. An analysis with different goals or done by analysts with different expertise could end up building very different kinds of models—but they should still undergo the same validation steps.

3.2.1 Likelihood (and parameters). Generalized linear models are a broad category of statistical models that are so flexible that they can be “applied to just about any problem” when modeling empirical data. The likelihood of a generalized linear model is a probability distribution over certain parameters, which are generalized linear functions of the variables chosen as predictors. The values drawn from the distribution correspond to the outcome that we are modeling.

Distribution family. In our case, the outcome variable is bugs, which always is a nonnegative integer. Therefore, we should select a likelihood distribution suitable for “counting”—that is, one in the Poisson family. The single-parameter Poisson is the distribution in this family with the highest information entropy [37], and hence it should be the customary initial choice.

Nevertheless, building a model using the single-parameter Poisson quickly reveals that it cannot account for the fact that the distribution of bugs in the data is overdispersed: its mean

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9As discussed in Section 2.6, for clarity we present the three models at once but we actually designed them over several iterated applications of the guidelines.
The priors of all three models allow a very broad range of possible values for the number of bugs that may exist; extremely high numbers are still possible but with low probability.

Fitting diagnostic metrics reported in Section 3.4: $R_t$, effective sample size, no divergent transitions, trace plots (details in the replication package).

Fitting all three models works computationally and reaches convergence.

Model $M_1$ cannot generate a distribution similar to that observed in the data (top plot in Figure 7), whereas models $M_2$ and $M_3$ can.

Model $M_3$ clearly outperforms $M_2$ in how it can predict data out of the sample used for fitting.

Quantitative answers to the analysis’s specific questions in Section 4.

Table 4. A summary of the artifacts produced by the analysis of the three models, and the outcome of each step of the analysis in terms model suitability. This summary instantiates Table 2 for the programming language data analysis.

$\mu_{\text{bugs}} = 501$ is much smaller than its variance $\sigma_{\text{bugs}}^2 = 15,031,006$. This justifies selecting the slightly more complex negative binomial distribution NegativeBinomial($\lambda, \phi$). The two parameters $\lambda$ and $\phi$ represent rates that together determine the distribution’s mean $\lambda$ and variance $\lambda + \lambda^2 / \phi$, which can take different values to accurately capture overdispersion. This is in contrast to the Poisson distribution whose mean and variance coincide. The negative binomial distribution is also the same distribution selected, for the same reason, by both FSE’s original analysis and TOPLAS’s reanalysis.

Model $M_1$. The first model we consider, called $M_1$, is very simple: it assumes that the rate $\lambda$ is a function of two terms only. The first term $\Pi$ is a constant intercept $\alpha$; the symbol $\Pi$ highlights that it is a population-level term. The second term $L$ is an additional intercept $\alpha_{\text{language}}$ that depends only on the language used in each observation; the symbol $L$ highlights that it is a language-level term. Figure 3a shows $M_1$’s overall likelihood, where the logarithm function $\log$\[11\] the linear function of the parameters and $\lambda$ so that the latter is always a nonnegative number—as it should be in a “counting” distribution.

Model $M_1$ is obviously too simple to capture the variability in the data with high accuracy. Nonetheless, it is a useful starting point to understand the key relations between variables and to bootstrap the process that leads to incrementally more refined and precise models. In its simplicity, it highlights that the key predictor (the “treatment”) is the programming language used in each project, whose relation with the number of bugs we would like to capture. Finally, even a simplistic model serves as a useful baseline to compare to more

\[\text{NegativeBinomial}(\lambda, \phi)\]

\[\text{Poisson}(\lambda)\]

\[\nu_{\text{bugs}} = 501\]

\[\sigma_{\text{bugs}}^2 = 15,031,006\]

https://mc-stan.org/docs/2_20/functions-reference/nbalt.html

In other words, the link function converts measures from the probability space to the outcome space.
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complex models—as a sanity check that the additional complexity that we are going to add to the models brings measurable improvements over the baseline.

**Model M₂.** The second model we consider, called M₂, is a standard linear-regressive model with negative binomial likelihood. Model M₂'s population-level term Π is a linear function with intercept α and a slope β for each predictor variable commits, insertions, age, and devs. In addition, like model M₁, M₂ includes a language-level term L that consists of an intercept that depends on the language used in each observation (a so-called “varying intercept” model [29]). Figure 3b shows M₂'s overall likelihood.

Model M₂ is the closest to the regressive models used in FSE and TOPLAS. The only difference is how each model accounts for the dependence on the programming language: FSE and TOPLAS use different kinds of contrasts [6, 55], whereas we simply add an intercept language-level term—thus making our models multilevel [28]. Multilevel modeling comes natural with Bayesian statistics, both because we do not have to worry too much about adding layers to the model (unlike with frequentist techniques, changing such characteristics of the model does not require changing the fitting algorithm) and because we can just model the quantities of interest directly and compute any derived quantity after we fit the model’s posterior distribution (unlike with frequentist techniques, which mostly provide only point estimates without distributional information).

**Model M₃.** The third model we consider, called M₃, is a multilevel model that tries to capture the effect of the programming language with greater detail. Model M₃’s population-level term Π is identical to M₂’s. Its language-level term L is considerably more complex, since it introduces a linear model with different intercepts αlanguage and slopes βlanguage for each programming language (a so-called “varying intercepts and varying slopes” model, 

$$\text{bugs}_i \sim \text{NegativeBinomial}(\lambda_i, \phi)$$

$$\log(\lambda_i) = \Pi_i + L_i$$

$$\Pi_i = \alpha + \beta^c \cdot \text{commits}_i + \beta^l \cdot \text{insertions}_i$$

$$+ \beta^a \cdot \text{age}_i + \beta^d \cdot \text{devs}_i$$

$$L_i = \alpha_{\text{language}} , + \beta^c_{\text{language}} \cdot \text{commits}_i$$

$$+ \beta^l_{\text{language}} \cdot \text{insertions}_i + \beta^a_{\text{language}} \cdot \text{age}_i$$

$$+ \beta^d_{\text{language}} \cdot \text{devs}_i$$

$$P_i = \alpha_{\text{project}} ,$$

where

$$\text{bugs}_i \sim \text{NegativeBinomial}(\lambda_i, \phi)$$

$$\log(\lambda_i) = \Pi_i + L_i$$

$$\Pi_i = \alpha + \beta^c \cdot \text{commits}_i + \beta^l \cdot \text{insertions}_i$$

$$+ \beta^a \cdot \text{age}_i + \beta^d \cdot \text{devs}_i$$

$$L_i = \alpha_{\text{language}} , + \beta^c_{\text{language}} \cdot \text{commits}_i$$

$$+ \beta^l_{\text{language}} \cdot \text{insertions}_i + \beta^a_{\text{language}} \cdot \text{age}_i$$

$$+ \beta^d_{\text{language}} \cdot \text{devs}_i$$

$$P_i = \alpha_{\text{project}} ,$$

Fig. 3. The likelihoods of statistical models M₁, M₂, and M₃. Colors highlight the terms that are added to each model compared to the previous ones.
also commonly known as “varying effects” model [29]). Unlike the population-level term $\pi$, the language-level term $L$ pools the information about each data cluster—where clusters are identified by the used programming language. Since it clusters by programming language, this partial pooling may help capture more accurately the effects of choosing a programming language instead of another; at the same time, it also shares information among clusters so that some information from larger clusters (languages with many projects) can sharpen the information from smaller clusters (languages with fewer projects). This also means that partial pooling helps protect from overfitting, as learning takes place first separately on each cluster, and then is “regularized” by sharing its results among different clusters.

The three models’ focus on the programming language reflects our intuitive expectation that the relation between programming languages and proneness to bugs is an important one—regardless of whether it turns out to be significant or negligible in the end. At the same time, adding predictors other than the programming language accounts for confounding factors that may have a stronger correlation with the number of bugs. But what if the intrinsic differences between projects turn out to dominate the discrepancies in code quality? For instance, different projects may have wildly different protocols to report, triage, and fix bugs, which might have an effect on the observed number of bugs.

In order to hedge against this possible confounding factor, model $M_3$ also includes a term $P$: an additional intercept $\alpha_{\text{project}}$ that depends only on each observation’s project; the symbol $P$ highlights that it is a project-level term, which will help in quantifying the intrinsic variability across projects. Figure 3c shows $M_3$’s overall likelihood.

3.2.2 Priors. As we demonstrated in the previous section, choosing the likelihood typically requires making justified modeling choices, which depend on the kind of analysis we would like to carry out.

When choosing the priors, in contrast, we can often rely on standard recommendations that primarily depend on the domain of each variable. This does not mean that priors (or likelihoods, for that matter) can be always chosen blindly using a fixed table of recommendations. In the following sections, as we go through the various steps of the Bayesian data analysis workflow, we will validate our choices of priors and likelihood. If validation fails, we have to go back and revise the model: priors, likelihoods, or both.

**Model $M_1$.** As shown in Figure 4a, we use weakly informative priors for model $M_1$ that are based on the normal distribution. As we will see during the plausibility analysis (Section 3.3), model $M_1$ is so simplistic that its performance is not affected much by the choice of priors; nonetheless, we discuss its priors in some detail because we will build on them to choose priors for the more complex models.

The intercept $\alpha$’s prior has mean 0 (that is, we do not know a priori whether the intercept is positive or negative) and standard deviation 5. Remember that the estimated parameter $\lambda$ is log-transformed (see Figure 3b); therefore, we can appreciate how weakly constraining this prior is: two standard deviations on each side of zero span the interval from $e^{-10} \approx 0$ to $e^{10} \approx 22,000$ on the bug counting scale; that is, the prior only assumes that a project’s bugs are up to 22,000 with 95% probability—which is not a strong assumption at all [58]. Besides, a normal distribution has infinite support, and hence it does not rule out any count of bugs if the data provides evidence for it. The prior for the language-level intercept $\alpha_{\text{language}}$ is also a normal distribution with mean 0; however, choosing the same standard deviation $\sigma_{\alpha}$ for every language would defeat the purpose of having language-level intercepts. Instead, we let $\sigma_{\alpha}$ be a random variable, and assign a prior to it. Distributions with support limited to positive values are suitable priors for standard deviations—which must be nonnegative.
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Fig. 4. The priors of statistical models $M_1$, $M_2$, and $M_3$. Colors highlight the terms that are added to each model compared to the previous ones.

values. In this case, we use a Weibull for $\sigma_a$ and the default Gamma for the dispersion parameter $\phi$ of the negative binomial.

Model $M_2$. In addition to $M_1$’s weakly informative priors for $\alpha$, $\alpha_{\text{language}}$, and $\phi$, $M_2$ needs a prior for the slope parameter vector $\beta$. Here too we use a simple normal distribution with mean 0 (so that there is no bias in the possible direction of each predictor’s effect) and standard deviation 0.5 (which still allows for a broad variability on the logarithmic scale). The $\beta$’s prior standard deviations are smaller than the $\alpha$’s because $\alpha$ determines the population average, and then $\beta$ moves this average according to each predictor’s effect (which needs only introduce a smaller variation relative to the average). Figure 4b shows the overall priors for $M_2$.

Model $M_3$. We choose the prior for the new part of $M_3$—the language-level slopes $\beta_{\text{language}}$—similarly to how we chose the language-level intercepts $\alpha_{\text{language}}$: a normal with mean 0 and a random variable $\sigma_\beta$ for standard deviation. However, there is an additional technicality that we need to handle: vectors $\alpha_{\text{language}}$ and $\beta_{\text{language}}$ are not independent but are components of a single multivariate normal distribution with a variance matrix $S$. Variance matrix $S$ combines diagonal matrices with the components of $\alpha_{\text{language}}$ and $\beta_{\text{language}}$ and a covariance matrix $L$. The customary prior for covariance matrices is a multivariate Lewandowski-Kurowicka-Joe distribution; LKJ(2) is a weakly informative prior using this distribution, which assigns low probabilities to extreme correlations. Finally, the project-level intercept $\alpha_{\text{project}}$’s prior is also a normal with mean 0 and a random variable $\sigma_\gamma$ for standard deviation. Just like for the other standard deviations, we choose a a Weibull as prior distribution of $\sigma_\gamma$—a weakly informative distribution that constrains $\alpha_{\text{project}}$’s standard deviation to be a non-negative value. Figure 4c shows the overall priors for $M_3$. 

Fig. 5. Prior predictive simulation plots for models $M_2$ and $M_3$: each thin light blue line pictures one simulated distribution of the number of bugs in a project drawn from the priors. For comparison, the thick dark blue line pictures the distribution of the number of bugs in the measured data. The horizontal scale is logarithmic in base 10.
3.3 Plausibility

The prior predictive checks are straightforward for all three models, confirming that our choice of priors—based on standard recommendations for these kinds of models—leads to plausible outcomes. As an example, Figure 5a shows several distributions of the outcome variable bugs obtained with prior predictive simulations of $M_2$. These span a very wide support that goes from zero up to over a million bugs per project. While there are no theoretical limits on the number of bugs in a project independent of its size, it is realistic that most projects have less than one million known bugs, and the majority of projects have less than a few thousands—simply because not many projects have more than one known bug for each line of code [58], and hence a project’s size in lines of code is a workable upper bound on the number of distinct bugs. Anyway, the priors still allow even larger bug counts, but assign to them increasingly smaller probabilities. Figure 5a also displays the empirical distribution of bug counts in FSE’s dataset (thick dark blue line); this visually confirms that the priors are not too restrictive and reflect reasonable expectations. The prior predictive checks of model $M_3$ is shown in Figure 5b, and leads to qualitatively similar conclusions—in fact even stronger, given that the priors stretch past an astronomical number of bugs—about the model’s plausibility. So do the prior predictive checks of model $M_1$, which we do not show for brevity.

3.4 Workability

Section 2.3 outlined simulation-based calibration and Hamiltonian Monte Carlo validation metrics to assess a model’s workability. We use the latter, which are extensively supported by Stan, to determine whether the sampling process for each of the three models reached a stable state.

$\bar{R}$—the ratio of within-to-between chain variance—is $< 1.01$ for all three models; this indicates that the chains have converged towards a stationary posterior probability distribution. The effective sample size is at least 0.11, 0.17, 0.13 for all parameters in each of the three models, and confirms that sampling effectively converged. Fitting all three models does not run into any divergent transitions, and the trace plots of the models (included in the replication package) look well-mixed. In summary, all three models work well computationally.

3.5 Adequacy

Let us first study the adequacy of our models with posterior predictive simulations: we visually compare the distribution of number of bugs per project in our dataset to several simulated distributions using the fitted models. The top plot in Figure 7 indicates that $M_1$ is not adequate: it is too simplistic to capture the data’s features; in particular, the means of the simulated distributions are more than ten times larger than the mean of the data (thick dark blue line). In contrast, $M_2$ passes this adequacy test: the middle plot in Figure 7 shows that the model’s predictions look similar to the data. Model $M_3$ also passes the visual adequacy test based on the posterior predictive simulations, as shown by the bottom plot in Figure 7. In Section 4.1, we will discuss what these adequacy results tell us about the interplay between model features and data.

3.6 Model comparison

It is now clear that $M_1$ is too simplistic, but how to choose between $M_2$ and $M_3$? Information criteria, which measure the relative adequacy of different models fitted on the

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12The logarithmic link function guarantees a lower bound of zero.
Fig. 6. Posterior predictive checks for $M_2$.

Fig. 7. Posterior predictive checks plots for models $M_1$, $M_2$, and $M_3$: each thin light blue line pictures one simulated distribution of the number of bugs in a project drawn from the posterior. For comparison, the thick dark blue line pictures the distribution of the number of bugs in the measured data. Model $M_1$ fails the check because the simulated distributions deviate substantially from the data’s; in contrast, $M_2$ and $M_3$ pass the check because the simulated distributions are similar to the data’s. The horizontal scale is logarithmic in base 10.

same data, can help answer this question. We use the increasingly popular PSIS-LOO information criterion [67], which works well with models fitted using dynamic Hamiltonian Monte Carlo.\footnote{Compared to more traditional information criteria—such as AIC, BIC, and WAIC—PSIS-LOO can handle non-Gaussian likelihoods (as can WAIC) and also provides diagnostics useful for further analyzing whether a model’s posterior behaves well numerically.} In a nutshell, the criterion ranks the three models according to their relative adequacy. It also gives a \textit{difference} score that measures how well each model performs out-of-sample predictions relative to the next one in the ranking, and a \textit{standard error} of

\textit{ACM Trans. Softw. Eng. Methodol., Vol. 1, No. 1, Article . Publication date: January 2022.}
Table 5. Ranking of models (from better to worse) according to the PSIS-LOO information criterion. Each model is ranked (from better to worse) according to the PSIS-LOO information criterion. The score difference between each model and the immediately better one in the ranking, as well as the standard error of such difference, quantify the difference in adequacy between models.

<table>
<thead>
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<th>RANK</th>
<th>DIFFERENCE</th>
<th>STANDARD ERROR</th>
</tr>
</thead>
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<tr>
<td>$\mathcal{M}_3$</td>
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<td>-</td>
<td>-</td>
</tr>
<tr>
<td>$\mathcal{M}_2$</td>
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<td>23.6</td>
</tr>
<tr>
<td>$\mathcal{M}_1$</td>
<td>3</td>
<td>-1963.0</td>
<td>57.0</td>
</tr>
</tbody>
</table>

The difference, which quantifies how much more adequate a model is compared to another. Table 5 displays the scores for the three models. Model $\mathcal{M}_3$ is ranked first; $\mathcal{M}_2$ comes second but its score is a whopping 7 standard errors worse than $\mathcal{M}_3$’s; and $\mathcal{M}_1$ is, unsurprisingly, a distant last.

We conclude that $\mathcal{M}_3$ is the “best” model among the three according to a variety of criteria. Our analysis will thus use $\mathcal{M}_3$—starting with a discussion of its features from the point of view of the analysis’s goals in Section 4.1.

At some point, one has to stop adding model features and finalize a model for the current analysis. Nevertheless, as we discussed in Section 2.6.1, statistical modeling is never really done: as more insights, more data, or new techniques become available, we could go back to the drawing board and refine the latest model to better capture all available information.

4 BAYESIAN STATISTICAL ANALYSIS: RESULTS

When applied following a structured process—like the one we described in Section 2—Bayesian statistics does not simply produce dichotomous answers to research questions. The outcome of a Bayesian data analysis is a posterior probability distribution, which we can probe from different angles to get nuanced answers that apply to specific scenarios. In this section we are going to do this for our case study.

Section 4.1 discusses how the Bayesian data analysis process guided our choice of models: modeling is a looping process, whose feedback also informs us about key characteristics of the data we are analyzing. Not all data features have the same influence on the statistics. Section 4.2 illustrates how Bayesian analysis can point to variables with brittle or negligible predictive power that may indicate problems in how certain measures were operationalized. After understanding the features and limitations of the fitted model, Section 4.3 addresses the original study’s research questions in practical settings; and Section 4.4 outlines follow-up studies that could address some of the outstanding limitations.

4.1 Modeling

When following the Bayesian data analysis process in Figure 1, statistical modeling is based on principles and on checks that the models are suitable. This is in contrast to most frequentist statistical practices, which are primarily based on rules of thumb, conventions (“recipes”), and generic results, but may lack operational model-checking processes that can assess how much confidence we can put in a certain modeling choice.

Section 3 described such a principled Bayesian modeling process applied to the programming language data. The first outcome was ruling out $\mathcal{M}_1$ as inadequate, which was unsurprising given that $\mathcal{M}_1$ ignores most of the information that could explain the dataset’s variability. Still, even checks with predictable outcomes are useful: if they fail, they confirm
that a more realistic model is needed and provide a minimal effectiveness yardstick; if they succeed, they avoid an overly complicated model. This can be especially important in the context of the software engineering industry, where a complex model can be more costly to understand, collect data for, and maintain.

The second outcome of Section 3’s analysis was indicating that, while both $M_2$ and $M_3$ are adequate, $M_3$ clearly outperforms $M_2$ in out-of-sample predictive capabilities. Informally, this means that $M_3$ fits the data well, while still avoiding overfitting. In other words, $M_3$’s additional complexity over $M_2$ is justified by its much better effectiveness. This outcome is specific to the data that we are analyzing, and is not something that can be determined a priori for all models. Iteratively creating multiple models and then comparing them is thus an important part of any analysis.

If we compare the definitions of $M_2$ and $M_3$—in particular, their model specifications in Figure 3b and Figure 3c—we can attribute $M_3$’s superior performance to its unique features. Unlike $M_2$, which only includes population-level effects that control for project characteristics other than the programming language, $M_3$ includes a project-specific intercept and controls for the same characteristics with language-specific slopes. Thus, we see that clustering per project and per language captures the dataset’s characteristics much better: if we do not do that, we may lose some of the “signal” in the data, or conflate different effects and associate them with a single generic predictor.

An indirect advantage of Bayesian data analysis comes from the techniques that are commonly used to fit Bayesian models: flexible algorithmic techniques such as dynamic Hamiltonian Monte Carlo that can fit, in principle, models of arbitrary complexity—in contrast to ad hoc frequentist techniques that only work for specific, and often limited, distributional families. On the other hand, Bayesian models are often more effective not simply because they can be more complex. More complex models invariably fit better, but unwarranted complexity leads to overfitting: a model fits the data perfectly but fails to generalize. Bayesian analysis techniques include several features that specifically limit the risk of overfitting when exploring more expressive models:

- Multi-level models, such as $M_3$, introduce partial pooling, which smoothens differences between groups of different size. In our case study, the data about some programming languages is more scarce than the data about others. For example, only 25 projects use Perl, whereas more than 200 use JavaScript; thus, overfitting Perl’s data is a more serious risk than overfitting JavaScript’s. Partial pooling works by transferring some of the information learned by fitting the larger groups to tune the fitting of the smaller groups, thus reducing the risk of overfitting the latter (and the whole dataset as a result).
- Prior predictive simulations—discussed in Section 3.5—check that the priors we have chosen are regularizing: they are not so constraining that they prevent learning from the data, but they are also not so weak that they cannot prevent overfitting the data. Being able to choose priors, to select different priors, and to quantitatively compare their effectiveness is a distinct advantage of Bayesian statistics. Frequentist statistics usually have flat priors, which are the most prone to overfitting.
- The information criteria that we used to select $M_3$ measure the out-of-sample prediction performance of one model relative to the others. Models that are unnecessarily complex will overfit the data, and hence perform worse predictions for new data (different from the sample that has been used for fitting).
Fig. 8. Conditional effects of variable $insertions$ (the logarithm of the total number of lines added to a project) on the outcome variable $bugs$ (the number of bugs in a project), corresponding to the marginal distribution derived from the posterior of model $M_3$.

### 4.2 Spotting data problems

The rich information provided by a Bayesian data analysis may also highlight issues with the quality of (parts of) the data that is analyzed, and suggest which measures need to be cleaned up or improved.

**Measuring size.** Code size is a basic yet essential measure of complexity, which correlates with lots of other useful metrics of quality [32]. Therefore, controlling for project size is essential when analyzing heterogeneous projects. To this effect, the FSE study included a variable $size$ in their regressive model, which measures the total number of inserted lines in all project commits—and which we called $insertions$ in our models to make its actual meaning more transparent. The TOPLAS analysis criticized this choice of size metric—which does not take deletions and merges into account—and reported discrepancies between the raw commit data and the totals in FSE’s dataset. Does our Bayesian analysis offer any hints about the reliability of $insertions$ as a measure of size?

A few results actually single out $insertions$ as a poor predictor compared to the others:

- The 95% probability estimate of its population-level effect includes zero (namely, the (credibility) interval is $[-0.01, 0.06]$), which indicates some uncertainty about whether more inserted lines are associated with more or fewer bugs on average. Variable $insertions$’s mean estimated effect is still positive, but other predictors have more clearly defined effects.
- The plot of $insertions$’s conditional effect on the number of $bugs$ in Figure 8 visually confirms a large uncertainty (again, compared with the other predictors’), which also increases with larger values of insertions.
- The varying effect of $insertions$ tend to have larger variance than other predictors—for every language.
- If we remove $insertions$ from $M_3$, the resulting model’s predictive performance is practically indistinguishable from $M_3$’s.\(^{14}\)

\(^{14}\)Variable selection [18]—an analysis technique that we do not describe in the paper for brevity—also suggests to drop variable $insertions$. See the paper’s replication package for details about this additional analysis of suitability.
Weibull(2,1)
Posterior
0
10
20
0 1 2 3
σ
density

Fig. 9. Comparison of prior Weibull(2, 1) and posterior for project-level intercept $\alpha_{\text{project}}$'s standard deviation $\sigma_\gamma$ in model $M_3$. The drastic restriction in uncertainty indicates that the data swamps the priors.

Fig. 10. Posterior predictions of the bug distributions of 10 projects drawn randomly from the posterior of $M_3$. The horizontal axis is logarithmic in base 10. The marked differences in shape and location among the distributions indicate that projects are heterogeneous.

All in all, our analysis indicates that insertions does not appear to be a particularly useful predictor, and hence it may not be a reliable measure of code size.

Inter-project variability. Section 4.1 showed that a project-specific intercept—which we introduced in $M_3$—provides better out-of-sample prediction capabilities. The flip side is that several features of the data vary considerably from project to project.

The 1 127 data rows are somewhat sparse among the 729 projects: 64% of all projects appear in a single row; another 26% in two rows. Despite these characteristics, the data swamps the priors: it determines a very precise (that is, narrow) posterior distribution of the project-specific intercept $\alpha_{\text{project}}$'s standard deviation $\sigma_\gamma$—shown in Figure 9. In other words,
the uncertainty about the contribution of each project to the overall number of bugs is quite
limited: the data characterizes each project’s contribution precisely. This does not mean that
the projects’ number of bugs is similar; on the contrary, the bug distributions of randomly
drawn projects that we get by simulating from $M_3$’s fitted posterior differ considerably
in shape, support, and mean (see Figure 10). In all, project-specific characteristics are an
important and well-defined source of information in the data, which other control variables
cannot fully capture.

4.3 Practical significance

Let us now address the original study’s research questions. For brevity, our analysis won’t
consider criteria to classify languages (“language classes” such as procedural, functional,
scripting, and so on), projects (“application domains” such as application, database, frame-
work, and so on), or bugs (“bug types” such as algorithm, concurrency, performance, and
so on). These are largely orthogonal to the main focus of the present paper. Instead, we
focus on the key first research question:

RQ. Are some languages more defect-prone than others?

Our analysis’s information is condensed in the fitted model $M_3$, which we can use to
generate a distribution of bugs for every language. In order to do this, we have to pick the
other inputs of the model: the number of commits, insertions, age, and developers of the
hypothetical projects whose number of bugs we are estimating. While, in principle, these
inputs could be any situation or scenario that we want to investigate, it is sensible to start
exploring values that are close to those observed in the data used to fit the model (following
the usual assumption that the sample is representative of the entire population).

4.3.1 Ranking all languages. Figure 11 displays the distributions as violin plots for five
combinations of input values: the dataset’s minimum, 25th percentile, median (50th percentile),
75th percentile, and maximum number of commits, insertions, age, and developers. Each
plot lists the languages in decreasing order of median predicted number of bugs per project:
from most error prone (left) to least (right).

The plots indicate that the relative ordering of languages can change conspicuously
according to the conditions. For example, C#’s defect proneness is average for projects
with large or median size and age; but it becomes better than average for smaller, younger
projects. In contrast, C++ is less defect prone only in the largest projects, whereas it is the
most or second most defect prone languages for projects of non-maximal size. Similarly, the
relative rank of some language pairs varies considerably: for example, Erlang is less error
prone than Go in the largest projects; the opposite is true in projects of smaller size.

A few languages’ ranks fluctuate wildly: Objective-C is among the most defect prone
languages except in small projects, when it is among the least; TypeScript even goes from
least defect prone on large and median projects to most defect prone on the smallest projects.
These jumps are so extreme that they may indicate that the data about these languages is
somewhat inconsistent or at least patchwork. Indeed, TOPLAS’s reanalysis reported that
only about a third of the commits classified as TypeScript in FSE’s data actually included
TypeScript code; and Ray et al.’s extended version [54] of their original FSE study dropped
several projects classified as TypeScript. We did not further look into Objective-C’s data,
despite its high rank fluctuations, because we wanted to use the original data without

15Unlike Figure 2, which plots the raw data, Figure 11’s simulated projects are directly comparable in terms of
defect proneness, as they only differ in the used programming language.
Fig. 11. Violin plots of the distributions of number of bugs per project per language, obtained from the posterior of $\mathcal{M}_3$ for five simulated scenarios. The plot in each row corresponds to a different scenario: from top to bottom plot, the input variables other than language are set to the empirical dataset’s maximum, 75th percentile (3rd quartile), median, 25th percentile (1st quartile), and minimum values. Languages are sorted, left-to-right in each plot, by decreasing values of the distributions’ medians. The vertical axes’ scales are logarithmic in base 10. The horizontal line in each plot marks the median number of bugs per project across all languages.

changes. Nevertheless, this is one clear example of how Bayesian analysis can help spot data problems, and hence bolster better substantiated analyses. This observation about the fickle influence of some languages also corroborates the evidence that other project-specific characteristics might weigh comparatively more than the used programming language.

Figure 11 also shows that the bug distributions per language are spread out widely—especially for some languages and especially for projects that are large and long-running—and their ranges extensively overlap. The heterogeneity of project-specific characteristics may also contribute to these features; for example, if projects written in language $X$ tend to be on the large side compared to projects written in language $Y$, the uncertainty in $Y$’s
error proneness when used for large projects would dominate the comparison with \( X \). This suggests that the data we analyzed does not warrant summarizing the language differences using a single ranking of defect-proneness.

4.3.2 Custom scenarios. While a single ranking of languages according to their absolute defect-proneness would have little practical meaning, we can still zoom in on specific conditions that are relevant in practice for a specific project and see what the fitted model can tell us concerning those conditions.

Imagine, for example, we are planning a project that involves around 30 developers who can code in Python or Ruby; we estimate the project will run over 2 years, generating an average of 1 commit and 10 lines inserted per programmer per day. Plugging these numbers (\( \text{developers} = 30, \text{age} = 2 \times 365, \text{commits} = 30 \times 2 \times 365 \), and \( \text{insertions} = 30 \times 10 \times 2 \times 365 \)) into the fitted model \( \mathcal{M}_3 \), we get the estimated bug distributions for Python and Ruby shown in Figure 12. In this scenario, Python tends to be worse (more bugs) than Ruby, since the latter’s distribution has a lower mean, a shorter tail towards high number of bugs, and more mass around lower values.

Whether this evidence is sufficiently strong to decide to choose one language over another depends on myriad other factors that are incidental, such as the availability of programmers familiar with one language, the cost of training new ones, the usability of the programming language for the project at hand, and so on. Whatever the practical constraints and requirements may be, the fitted model can help us meet them by providing estimates complete with a quantification of their uncertainty. Realistically, any estimate about the size and development time of a project is also likely to be somewhat uncertain; therefore, we
would run multiple simulations and weigh the evidence summarized by each one against the confidence we have in the corresponding scenario occurring.

More generally, the results of a principled Bayesian analysis facilitate a quantitatively accurate transfer of knowledge to practitioners and other researchers. Rather than relying only on overly broad conclusions about the impact of different programming languages, using simulations of custom scenarios drives follow-up work more precisely: a practitioner can judge whether the uncertainty in a specific comparison is too large to base a decision on it; a researcher can decide whether more data is needed to claim more general conclusions.

4.3.3 Statistical significance. Our analysis so far has focused on concrete scenarios defined in terms of tangible measures in the data domain—such as number of bugs and project age. In contrast, widespread statistical practices (mostly of a frequentist flavor) try to answer research questions by analyzing statistical significance, which measures generic characteristics of a statistical model.

In a standard regression analysis, one usually assesses the statistical significance of each coefficient in the model (also called “effect”) by checking whether it differs from zero with a certain probability. For example, we could compute the distribution of the estimate of coefficient $\alpha_{\text{language}}$ for every language. If $\alpha_X$ is negative with, say, 95% probability, we would conclude that language $X$ is associated with fewer bugs than average with that probability; in other words, $X$ is “statistically significantly” less error prone than other languages.

FSE and TOPLAS both proceed in such a way, but using frequentist coefficient estimates instead of a posterior probability distribution on their models—which are similar to our $M_2$—leading to their findings about which languages are more error prone than others. What about model $M_3$ fitted on the same data? The 95% probability intervals of $\alpha_{\text{language}}$ include the origin for every language, except TypeScript whose $\alpha_{\text{language}}$ is strictly positive—but, as we have commented above, the uncertainty about TypeScript’s data puts any results about this language on shaky grounds. Overall, the canonical analysis of statistical significance is just inconclusive on our model.

To some extent, this outcome is a side effect of $M_3$’s greater complexity over simpler models. There is a trade-off between the complexity of a model (which brings greater expressiveness and better predictive performance) and its interpretability. The criteria we used to choose $M_3$ over the simpler $M_2$ ensure that the former’s additional complexity is justified by its much better effectiveness. However, a simple interpretation is no longer feasible: $M_3$ includes slope coefficients that also vary with each language, as well as a project-level contribution; how each language-specific term interacts with the others is not something that can be simply estimated with a single coefficient independent of the predictors’ values.

We should appreciate that this is more a feature than it is a limitation. While mathematically simple models are nice to have, not all data analysis problems can be addressed with a basic model. Bayesian analysis techniques do not just support fitting complex models but provide the means to handle their complexity and to perform a convincing analysis without resorting to formulaic measures of “significance”. The individual model characteristics are not easy to interpret in isolation, so that we are forced to interpret the model by providing concrete conditions—the number of commits, age, and so on—which ground our generic research question onto scenarios that are realistic and meaningful for our purposes. In other

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16This is explained in detail in TOPLAS’s repetition [6, § 2.2.3], which uses FSE’s model; TOPLAS’s reanalysis [6, § 4.2.1] suggests a different statistical measure.
words, it may be cumbersome to reason about statistical significance in a Bayesian model but it is always natural to reason about practical significance—which is what matters most in the end to answer our research questions.17

**Model interpretability.** The focus on practical significance follows from the specific research problem we considered: answering the question of whether some programming languages are more prone to defects requires precise predictions about the defect-proneness of projects written in different programming languages. On the other hand, the interpretability of a statistical model may become crucial when targeting other kinds of research questions. In these scenarios, Bayesian models can still be practically effective. A relatively complex model like $M_3$ is not easy to interpret directly; that is, we cannot easily and unambiguously assign a direct interpretation to each individual fitted parameter. However, it is amenable to interpret indirectly: we formulate some scenarios in terms of model variables, and then we simulate those scenarios on the fitted model. Interpreting the simulation’s results is how we indirectly interpret the model’s characteristics. Ultimately, a key feature of Bayesian data analysis techniques is what makes these analyses so flexible: we can combine (indirect) interpretability and predictive capabilities because the outcome of an analysis is a (sampled) posterior probability distribution—a rich and actionable source of information.

### 4.3.4 Effect sizes.

Section 4.3.1 demonstrated that the fault proneness of a language over another strongly depends on the conditions in which the languages are to be used. If we have specific scenarios in mind, we can just simulate those as discussed in Section 4.3.2.

Another approach is to compare languages pairwise by simulating their performance on a population that resembles the observed data. Since the comparisons are quantitative—in the form of derived distributions—they can be seen as an effect size, but relative to each language pair instead of absolute for all languages at once.

As usual, simulations are derived from the posterior, which entails that there is no multiple comparisons problem [45]: all information is encoded jointly by the posterior; the pairwise comparisons are just projections of some of that information. For the same reason, we do not have to commit to a certain way of comparing languages when we build the model (for example, by choosing how to encode contrasts): we just select the “best” model according to its performance, and then derive all the information we are interested in from the model fitted on the data.

Concretely, take two languages $\ell_1$ and $\ell_2$ that we want to compare for bug proneness. For every data point $d$ in the empirical data, we set, in the posterior, all predictors except the language to their values in $d$. Then, we simulate the distribution of the expected difference $\text{bugs}_{\ell_1} - \text{bugs}_{\ell_2}$ in bugs produced when using one language over the other.18

Figure 13 plots the distributions comparing two pairs of languages, which we selected to demonstrate qualitatively different outcomes of the pairwise comparisons. The distribution of $\text{bugs}_{\text{C#}} - \text{bugs}_{\text{C}}$ in Figure 13a covers only nonnegative values, which means C# was consistently more fault prone than C. The distribution of $\text{bugs}_{\text{CoffeeScript}} - \text{bugs}_{\text{Go}}$ in Figure 13b covers negative values more often than positive ones, denoting that Go tended to be more fault prone. Precisely, we can compute that CoffeeScript was more error prone than Go only around 8.5% of the times.

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17 In related work, we discuss in greater detail methods to analyze practical significance based on Bayesian data analysis [65].

18 We can compute the absolute difference in number of bugs because the difference is between samples where the language is the only project characteristic that changes.
(a) Posterior distribution of the difference $\text{bugs}_{C#} - \text{bugs}_C$ when predictors are set to the same values as in the empirical data. This indicates that C# is consistently more fault-prone than C, since it leads to more bugs.

(b) Posterior distribution of the difference $\text{bugs}_{CoffeeScript} - \text{bugs}_{Go}$ when predictors are set to the same values as in the empirical data. This indicates that CoffeeScript is somewhat less fault-prone than Go.

Fig. 13. Probability distributions of the difference in bug proneness between pairs of languages according to the posterior distribution with population data.
In the end, we did not really answer the original research question—not with a definitive, straightforward answer at least. Instead, our analysis identified sources of uncertainty in the data, provided means of simulating custom scenarios, and compared pairs of languages in conditions similar to the collected data’s. This is a solid basis to understand what questions can and cannot be answered by the data, and to plan follow-up data collections and analyses that zero in on understanding specific outcomes.

4.4 Planning the next study

Our analysis shed light on the relationship between programming languages and fault proneness, but also discovered restrictions on how general the findings can be and which factors should be considered. How can we make further progress in this line of research—beyond the limitations of what is available in FSE’s dataset?

The outcome of our analysis—in particular, the issues discussed in Section 4.2—help to plan follow-up studies too. A recurring issue was the clear impact of project-specific characteristics, which sometimes dominate over language-specific features. There are at least two ways of better accounting for project features. One is collecting more data that characterize projects along more dimensions; for example, a project’s domain, the development process it uses, the expertise of its developers, and so on. The other way is to give up generality and focus on analyzing a specific, homogeneous set of projects: the more characteristics are similar among projects the more accurately the impact of programming languages can be singled out.

When we simulated different scenarios in Section 4.3, we found that the uncertainty in the outcome is more pronounced for certain languages than others. For example, the uncertainty about Objective-C’s and Perl’s fault proneness is very pronounced on large projects (see the vertical spread in their violin plots of Figure 11). To reduce this uncertainty, we should collect more data on large Objective-C and Perl projects, focus on smaller projects, or a combination of both.

More generally, Bayesian models and techniques help zoom out of each individual study to considering a line of studies in the same subject area. Each study collects additional data, refines the knowledge that we have of the area, and identifies further aspects that can be improved—something follow-up studies will do. In a way, this realizes a sort of optimization process whose goal is maximizing knowledge over time. Bayesian optimization algorithms exist that carry out this process automatically on a large dataset that can be analyzed incrementally [56]; scientific research deploys processes that do something similar on a much longer time scale and with key contributions from human intuition. The benefits we highlight are not only conceptual; the posteriors from previous studies can be directly used when creating priors for follow-up studies. This can thus enable a more direct and precise way for research studies to build on each other and gradually refine the scientific knowledge.

5 RELATED WORK

We discuss related work in three areas, broadly connected to the paper’s contributions: Section 5.1 briefly reviews some widely-used statistical models other than those we deployed in this paper; Section 5.2 summarizes other work about statistical analysis guidelines (for frequentist and for Bayesian techniques); and Section 5.3 outlines the state of replication studies in empirical software engineering research.
5.1 Statistical data modeling and analysis

As we remarked in Section 1.3.1, this paper’s guidelines are largely independent of the specific features of the chosen statistical models. All our examples used (generalized/hierarchical) linear regressive models—the workhorse of statistical analysis [29]. Besides their great flexibility, another practical advantage is that they have been widely used also with frequentist statistics; therefore, they offer a convenient bridge for a gradual transition to Bayesian statistics.

Nevertheless, other classes of statistical models can be used for similar analyses. One alternative, broad class of statistical models are so-called graphical models [38], which use graphs to encode the probabilistic relations between variables. Bayesian networks [59] are arguably the best-known kind of graphical models, which can be used both directly as probabilistic classifiers [17, 40] but have also become the basis to encode causal relations that go beyond mere correlations [48]. The expressive power of Bayesian networks and hierarchical regressive models significantly overlap: among other things, one can encode a regressive model as a Bayesian network, and then use network’s fitting techniques to analyze it [36]; conversely, one can encode a Bayesian network as a hierarchical regressive model [59, Ch. 5], and then apply similar analysis techniques as those we demonstrated in the paper.

Machine learning toolkits such as Weka [72] provide a convenient way of experimenting with a wide variety of classical statistical analysis models, which have been frequently used in the analysis of software engineering empirical data [43, 73] and provide additional serviceable classes of statistical models. While our paper’s guidelines would remain applicable, at least at a high level, to compare other, widely different statistical models, doing so in practice may require developing new analysis techniques or extending existing ones. In particular, some information criteria—which are used for Bayesian model comparison as discussed in Section 2.5—are only applicable to statistical models that can provide multiple samples from a posterior when the fitted model is used for prediction [67]. Obviously, generalizing the model comparison criteria (and the other techniques for model analysis) so that they are applicable to all inductive machine learning approaches falls outside this paper’s scope.

It is interesting that several modern machine learning algorithms, such as deep neural networks and active learning, are applicable both in a frequentist [74] and in a Bayesian [24, 46, 69] context. Our paper’s guidelines could remain broadly useful for frequentist models, but they do not cover online approaches (for example, active learning), where each iteration of a statistical analysis influences which additional data is collected. Extending some of our guidelines to online approaches is an interesting direction for future work. At the same time, the increasingly recognized value of practices such as pre-registered studies [14] suggests that the offline analysis of fixed, previously collected, datasets will remain an important and common approach in software engineering empirical research.

5.2 Guidelines about statistical analysis

Bayesian data analysis guidelines. The last decade’s progress in algorithms and tools for Bayesian data analysis has been impressive [13, 25, 41, 50] but, without practical support, it is not sufficient to promote widespread usage in the empirical sciences. Recent work about developing guidelines to apply Bayesian data analysis techniques [23, 31, 57], which Section 2 summarized in a form amenable to software engineering empirical research, has been trying to close this gap. While these proposals differ in their intended audience and
level of detail, they all build on the basic view [26] that an analysis should go through multiple models, refine them in several iterations, and compare them. Then, Gabry et al. [23] focus on visualization and how to use it throughout a workflow; Schad et al. [57], instead, introduce quantitative checks and illustrate them for a specific scientific area (the cognitive sciences). Our guidelines combine elements from both [23, 57] but illustrate them in a way that is amenable to empirical software engineering research practices. Very recently, Gelman et al. [31] presented an early draft of a book that will further refine some of these Bayesian analysis workflows and guidelines. Also very recently, van de Schoot et al. [66] published an accessible primer on Bayesian statistics and modeling for scientists.

**Guidelines on using statistics in empirical software engineering.** Over the years, several guidelines for using statistics in empirical software engineering have been proposed—all of them focusing on frequentist statistics, which remain the norm in empirical software engineering [16]. Arcuri and Briand [3] focus on analyzing experiments with randomized algorithms, and highlight the importance of checking the assumptions of each statistical significance test. They also advocate for extensively using non-parametric statistical tests and effect size measures. Menzies and Shepperd [44] catalog “bad smells” in data analytics studies and discuss remedies to excise them. Among the techniques they recommend are up-front power analysis, reporting effect sizes and confidence limits, and using robust statistics and sensitivity analysis. A recent literature review of ours [16] found evidence of a positive impact of such empirical guidelines on the maturity of statistical practice in empirical software engineering research: statistical testing, non-parametric tests, and effect sizes have all been increasingly used in the field over the last 5–10 years.

### 5.3 Replication in software engineering research

Recent years have finally seen replication studies become more popular in software engineering research. Nevertheless, Da Silva et al.’s systematic literature review found that internal replications (done by the same authors as the original study) are still much more common than external replications (done by an independent group of authors) [15]. Unsurprisingly, Bezerra et al.’s related literature review found that internal replications are much more likely to confirm the results of the replicated study than external replications [7], and used this result to question the value of replications compared to meta-analyses. Both literature reviews found hardly any examples of reanalyses (replications limited to data analysis); similarly, a taxonomy for replications in software engineering does not explicitly mention reanalysis [4].

In fact, we tried searching for “reanalysis + software engineering” in publication databases and found very few relevant hits—mostly papers revisiting qualitative data such as interview transcripts, and reanalyzing them to address new questions or theories. As one example, Bjarnason et al. [8] developed a new theory by reanalyzing interview transcripts from an earlier study of theirs. In contrast, Tantithamthavorn et al. [63] revised a meta-analysis of machine learning in software defect prediction [60] and found that several predictor variables of the original study were co-linear. Based on a reanalysis of a subset of the same data, they also questioned some of the original results and implications. This criticism was later disputed, on statistical grounds, by the original study’s authors [61].

Our previous work about using Bayesian analysis in empirical software engineering also performed reanalyses of previous studies using Bayesian techniques [21, 65].

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19Our previous work targets various applications of Bayesian statistics such as analyzing practical significance [65] and dealing with missing data [64]; the case studied developed there also follow some of the guidelines.
noticeable external reanalysis is of course Berger et al. [6]’s of Ray et al. [55], which we
summarized in Section 1.

Overall, reanalyses of software engineering data remain uncommon—especially com-
pared to other scientific areas where they are widespread forms of publication, including
those using Bayesian statistics (for example, in astronomy [33] and medicine [5]).

6 CONCLUSIONS

Reaping the benefits of Bayesian statistics requires more than powerful analysis techniques
and tools. In this paper, we presented practical guidelines to build, check, and analyze a
Bayesian statistical model that summarize recently developed suggestions brought forward
by prominent statisticians and cast them in a format that is amenable to empirical software
engineering research.

We then applied the guidelines to analyze a large dataset of GitHub projects that was
previously used to study the impact of programming languages on code quality [55].
This study was later criticized by a reproduction attempt that failed to confirm some
of the originally claimed results [6]. Our reanalysis using Bayesian statistics identified
some shortcomings of the data that also emerged in the reproduction attempt (such as the
large uncertainty associated with data for programming languages such as TypeScript)
and pointed to other possible effects that were not fully accounted for by the frequentist
models of the previous studies [6, 55] (such as the disproportionate differences that are
project-specific rather than language-specific). Moving on to the previous studies’ main
research question (“Are some languages more defect-prone than others?”), our Bayesian
model lent itself to evaluating the effect of programming languages in different concrete
scenarios rather than in terms of generic “statistical significance”. We found that the impact
of programming languages can vary considerably with other contextual conditions, and
hence the original research question does not admit a simple, generally valid answer—at
least not with the analyzed data.

Throughout our reanalysis, a key advantage of Bayesian techniques was that they can be
used to quantify any derived measures of interest, as well as the uncertainty that comes with
each measure. Such capabilities are useful not only to infer results in each study, but also to
present and share them in a robust way with other researchers and practitioners. A Bayesian
quantitative framework focused on practical significance can also help plan the next studies
in a research area—thus steadying the long-term progress of software engineering empirical
research and enhancing its broader impact.

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Applying Bayesian Analysis Guidelines to Empirical Software Engineering Data


